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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Emie F. Brickell et al. Art Unit : 3621

Serial No.: 09/998,549 Examiner: Pierre E. Elisca

Filed : November 28, 2001 Conf. No. : 7968

Title : DELEGATING DIGITAL CREDENTIALS

MAIL STOP RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

The inventors have identified SPKI (Simple Public Key Infrastructure) as being the closest prior art. No specific references were identified by the inventors. Accordingly, copies of art that describe SPKI are being submitted.

This filing is being made with the filing of a Request for Continued Examination. No fee is required; however, if any fee is due, please apply it to Deposit Account No. 06-1050.

Respectfully submitted,

Date: <u>Use</u> 4,207

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office		Application No. 09/998,549	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Ernie F. Brickell et al.		
		Filing Date November 28, 2001	Group Art Unit 3621	

U.S. Patent Documents Examiner Desig Document Publication Filing Date							
Examiner Initial	Desig.	Document Number	Date	Patentee	Class	Subclass	If Appropriate
	ΆA	2002/0120573	08/2002	McCormick			
	AB	2003/0086594	{	Gross			
	AC.	2005/0198356	09/2005	Delancy, et al.			
	AD	7,062,471	06/2006	Matsuyama, et al.			
	AE			***************************************			
	AF						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Yes	siation No
	AG							

•	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AH	SPKI Requirements, C. Ellison, September 1999, pages 1-14
	ΑĬ	SPKI Certificate Theory, C. Ellison, et al., September 1999, pages 1-41
	AJ	Simple Public Key Certificate, C. Ellison, et al., July 26, 1999, pages 1-44
	AK	SPKI Examples, C. Ellison, et al., March 10, 1998, pages 1-15
	AI.	An Infrastructure for Authentication, Authorization and Delegation, Harold Myrvang, May 22, 2000, 100 pages

Examiner Signature	Date Considered